## Appendix 1

Filed on behalf of: [Name of party]

By: [Name of lead counsel] [Name of backup counsel]

[Address]

Tel:

Fax:

Paper No. \_\_\_\_

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

SCOTT C. CHAPPEL

Junior Party,

v.

ARTHUR I. SKOULTCHI

Senior Party.

Patent Interference No. 103,737

Judge Adriene L. Hanlon

TITLE OF PAPER (e.g., CHAPPEL PRELIMINARY MOTION NO. 1) Attachment
(37 CFR § 1.611(c))

The parties involved in this interference are:

## Junior Party

Patentee:

SCOTT C. CHAPPEL

990 Centre Street, Unit #2

Jamaica Plain, Massachusetts 02130

Patent:

07/893,447, filed 05/28/92, now Patent No.

5,272,071, granted 12/21/93

For:

METHOD FOR THE MODIFICATION OF THE EXPRESSION

CHARACTERISTICS OF AN ENDOGENOUS GENE OF A

GIVEN CELL LINE

Assignee:

Applied Research Systems ARS Holding N.V.

Curacao, Netherland Antilles

Attorneys:

Sheridan Neimark
A. Fred Starobin

Norman J. Latker Roger L. Browdy G. Kevin Townsend

Jerome J. Norris Anne M. Kornbau

Iver P. Cooper

John E. Tarcza

Associate:

None

Accorded Benefit:

Application 07/454,783, filed 12/22/89 (ABN)

Address:

BROWDY and NEIMARK

419 Seventh Street, N.W. Washington, D.C. 20004

(Attachment continued)

## Senior Party

Applicant:

ARTHUR I. SKOULTCHI

71 North Chatsworth Avenue Larchmont, New York 10538

Application:

08/102,390, filed 08/05/93

For:

PRODUCTION OF PROTEINS USING HOMOLOGOUS

RECOMBINATION

Assignee:

None

Attorneys:

Aldo J. Test Harold C. Hohbach Thomas O. Herbert Donald N. MacIntosh Jerry G. Wright Edward S. Wright David J. Brezner Richard E. Backus James A. Sheridan Robert B. Chickering Willis E. Higgins Gary S. Williams Richard F. Trecartin Stephen C. Durant C. Michael Zimmerman Walter H. Dreger

Bertram I. Rowland

Associate:

Lucy J. Billings Laura Coruzzi

Debra J. Glaister Kenneth K. Sharples

Accorded Benefit:

Application 07/787,390, filed 11/04/91 (ABN) Application 07/432,069, filed 11/06/89 (ABN)

Address:

Saralynn Mandel

Director of Intellectual Property

Cell Genesys, Inc. 322 Lakeside Drive

Foster City, California 94404

(Attachment continued) COUNT 1 The mammalian host cell of claim 105 of U.S. patent application serial no. 08/102,390 orthe method of claim 1 of U.S. Patent No. 5,272,071 or.

the cell line of claim 18 of U.S. Patent No. 5,272,071.

Claim 105 of U.S. patent application serial no. 08/102,390 reads as follows:

105. A mammalian host cell that expresses a gene product encoded by a target gene which is not normally expressed within the genome of the cell line, said genome having inserted therein a heterologous regulatory sequence operatively associated with the normally non-expressed target gene, so that the mammalian host cell expresses the target gene product.

Claim 1 of U.S. Patent No. 5,272,071 reads as follows:

1. A method of activating a predetermined normally transcriptionally silent gene within the genome of a cell line so as to enable said cell line to express the gene product of said gene, comprising inserting a DNA construct into said genome by homologous recombination, said DNA construct comprising a DNA regulatory segment capable of stimulating expression of said gene when operatively linked thereto and a DNA targeting segment

homologous to a region of said genome within or proximal to said gene, wherein said construct is inserted such that said regulatory segment is operatively linked to said gene of interest.

Claim 18 of U.S. Patent No. 5,272,071 reads as follows:

18. A cell line capable of expressing a gene product by a predetermined normally transcriptionally silent gene within the genome of said cell line, said genome having inserted therein a DNA regulatory segment operatively linked with said normally transcriptionally silent gene, said DNA regulatory segment being capable of promoting the expression of a gene product by said cell line.

## (Attachment continued)

The claims of the parties which correspond to Count 1 are:

Chappel:

Claims 1, 2, 5, 9-12, 17, 18, 25, 26, 28 and 32-35

Skoultchi:

Claims 105, 106

ADRIENE LEPIANE HANLON

Administrative Patent Judge

cc: Counsel of record for Chappel:

BROWDY and NEIMARK 419 Seventh Street, N.W. Washington, D.C. 20004

Counsel of record for Skoultchi:

Saralynn Mandel Director of Intellectual Property Cell Genesys, Inc. 322 Lakeside Drive Foster City, California 94404